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Patent Application
Attorney's Docket No.: SUN-P4061-JTF

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2700 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

William H. Connor

Application No.: 09/489,464

Filed: January 21, 2000

For: METHOD AND APPARATUS FOR CON-
CURRENCY CONTROL IN A POLICY-
BASED MANAGEMENT SYSTEM



) Group Art Unit: 2768

) Examiner: Unassigned

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is a copy of the official Filing Receipt marked in red to identify the corrections needed. The corrections are as follows:

The inventor's name should read: William H. Connor

- No fee is due with this communication.
 The statutory fee of \$25.00 is enclosed.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Dated: March 16, 2000

By: A. Richard Park
A. Richard Park
Registration No. 41,241

PARK & VAUGHAN LLP
508 Second Street, Suite 201
Davis, CA 95616

FILING RECEIPT



OC00000004985418

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/489,464	01/21/2000	2768	798	SUN-P4061-JTF	4	26	3

PARK & VAUGHAN
508 SECOND STREET
SUITE 201
DAVIS, CA 95616



Date Mailed: 03/08/2000

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

William H. Connor, Boulder, CO ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A PRO OF 60/165,552 11/15/1999

Foreign Applications

Foreign filing license granted on 03/08/2000

Title

Method and apparatus for concurrency control in a policy-based management system

Preliminary Class

705

Data entry by : DILLON, LAWANDA

Team : OIPE

Date: 03/08/2000



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Bib Data Sheet



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SERIAL NUMBER 09/489,464	FILING DATE 01/21/2000 RULE -	CLASS 705	GROUP ART UNIT 2768	ATTORNEY DOCKET NO. SUN-P4061-JTF
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APPLICANTS

William H. Connor, Boulder, CO ✓

**** CONTINUING DATA *******THIS APPLN CLAIMS BENEFIT OF 60/165,552 11/15/1999 *verified***** FOREIGN APPLICATIONS ********None Inv***IF REQUIRED, FOREIGN FILING LICENSE****GRANTED **** 03/08/2000

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CO	SHEETS DRAWING 4	TOTAL CLAIMS 26	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				

AcknowledgedVerifier's Signature *Maryland*Initials *m*

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TITLE

Method and apparatus for concurrency control in a policy-based management system

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